



# Psychotropics and Foster Care: Challenge or Opportunity?

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# Objectives

**At the conclusion of this presentation, the participant will be able to:**

- **Cite specific challenges in the use of psychiatric medications in foster children.**
- **Compare challenges posed to pre-authorization and monitoring programs by pediatric patients with those posed by adolescent patients.**
- **Discuss newer data on diabetes and metabolic issues related to antipsychotic use.**
- **Formulate an ongoing monitoring plan for antipsychotic treatment.**

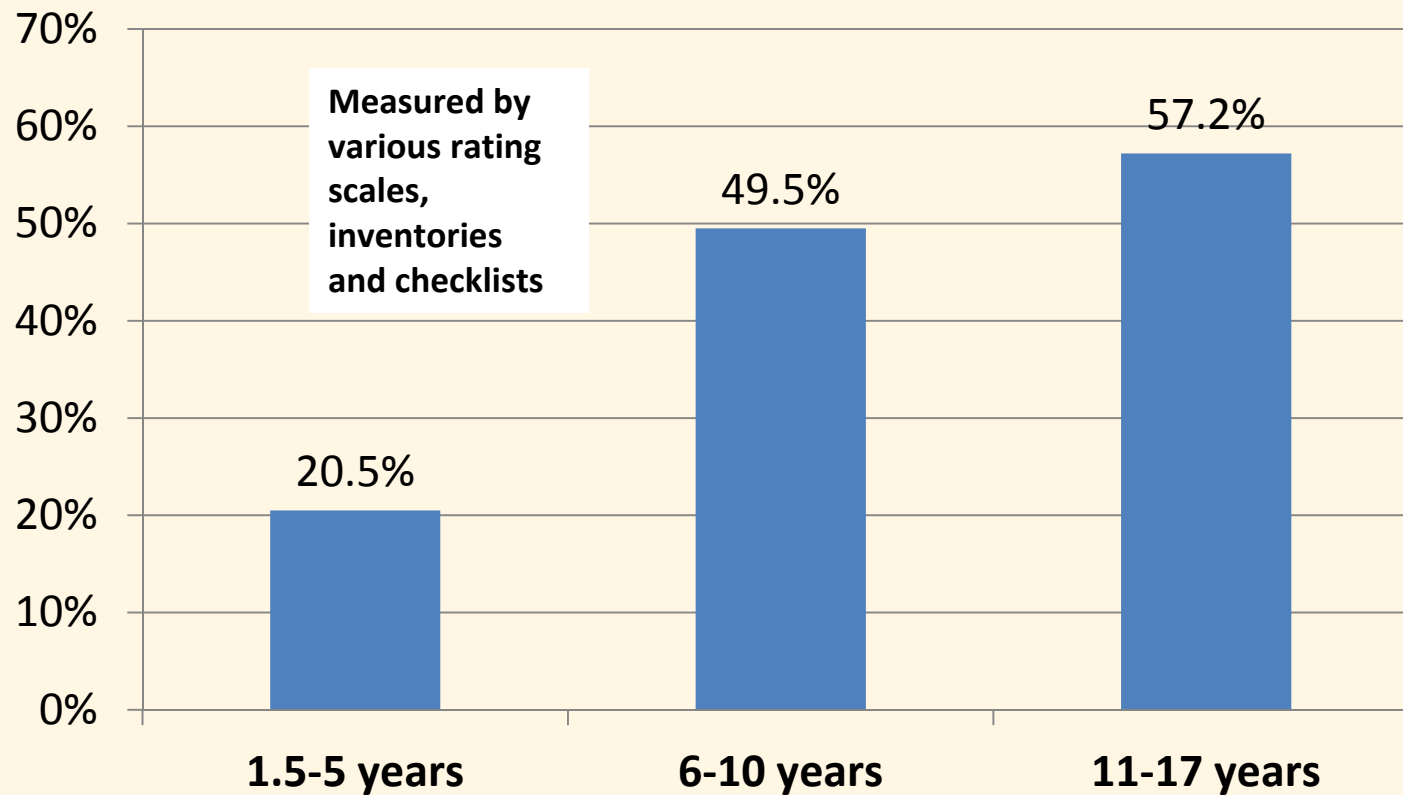
# Ongoing Concerns Regarding Antipsychotic Use

- More Toddlers, Young Children Given Antipsychotics, January 2010 
- Antipsychotics Tied to Kids' Weight Gain, October 2009 
- Study Finds Drug Risks With Newer Antipsychotics, January 2009 
- More Children on Antipsychotics, March 2006 

# Foster Children

- **Higher rates of psychiatric /behavioral disorders**
  - > 50% of youth entering foster care
  - Up to 5x more likely
  - 3x the rate of general population for ADHD, Conduct Disorder, PTSD
  - More developmental issues
  - More depression
- **Prenatal**
  - drug/alcohol exposure, poor prenatal care, poor nutrition
- **Psychosocial**
  - Poverty, abuse/neglect, impaired attachment, disruptions in home

# Risk of Social-Emotional Problems in Recipients of Child Welfare



ACF, ACYF-CB-IM-12-03,  
04/11/2012 and NSCAW II

# Foster Children

- **Use more psychiatric / mental health services**
  - **¼ more than Medicaid controls**
  - **Five times hospitalization rate**
  - **Make up 3% of Medicaid population, but receive 32% of behavioral health services**

# Foster Children

- **Use more psychotropics**
  - 2008 study of Medicaid recipients - psychotropic use 2.7x to 4.5x higher rate of use in foster vs. non-foster youth
  - 2011 GAO report - 21-39% of foster youth receive psychotropics vs. 5-10% of non-foster youth
  - 2011 studies in Medicaid recipients - foster youth receive psychotropics at rates 3x to 11x higher than non-foster youth
  - 41% of foster youth received 3 or more psychotropics within a single month
  - Males are 2-3x more likely to receive psychotropics
  - Those in more restrictive settings more likely to receive psychotropics

# Federal Mandate

- **2008 Fostering Connections to Success and Increasing Adoptions Act**
  - States must “develop a health oversight plan to identify and respond to the . . . mental health needs of children in foster care.”
  - The plan must include “oversight of prescription medications.”
- **2011 Child and Family Services Improvement and Innovation Act**
  - States must develop “protocols for the appropriate use and monitoring of psychotropic medications.”



# Federal Mandate

- **2011 Child and Family Services Improvement and Innovation Act**
- **Subsequent recommendations for content of plans**
  - Screening, assessment and treatment planning for children placed out of home
  - Mechanisms for informed consent for medication
  - Systems for monitoring medication (system & child levels)
  - Access to psychiatric consultation (system & child levels)
  - Access to and dissemination of information on evidence-based approaches (pharmacological and non-pharmacological)



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**GAO**

**United States Government Accountability Office**

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## Testimony

Before the Subcommittee on Federal Financial Management,  
Government Information, Federal Services, and  
International Security, Committee on Homeland Security  
and Governmental Affairs, U.S. Senate

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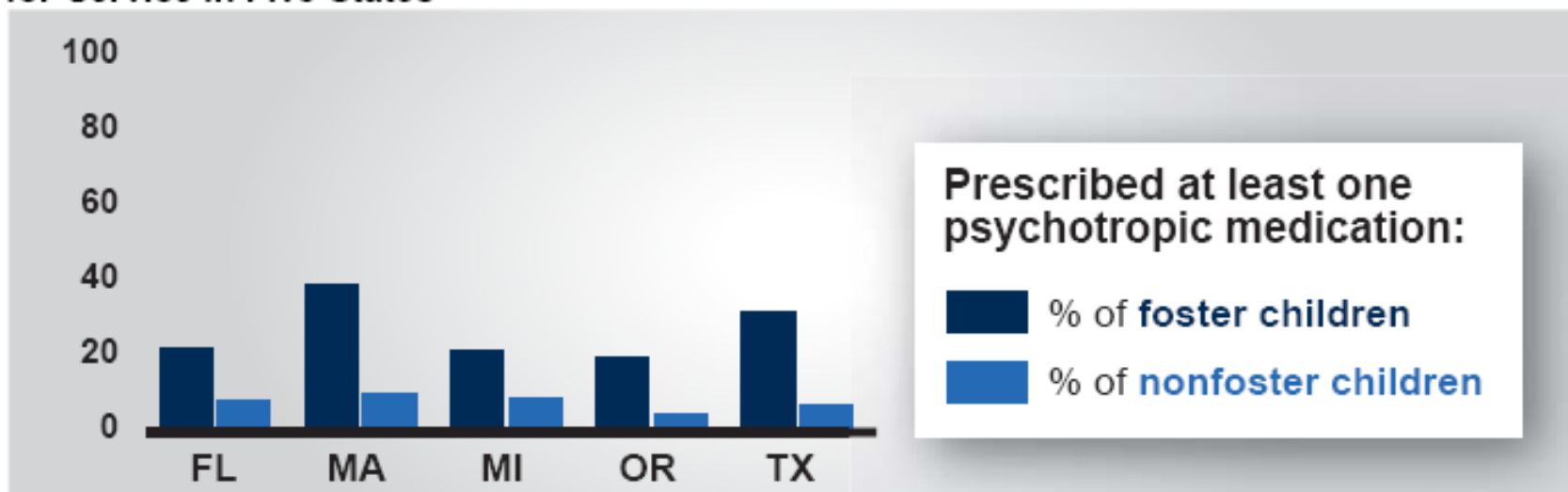
For Release on Delivery  
Expected at 10:30 a.m. EST  
Thursday, December 1, 2011

# FOSTER CHILDREN

## HHS Guidance Could Help States Improve Oversight of Psychotropic Prescriptions



### Psychotropic Prescription Rates for Foster and Nonfoster Children Age 0-17 in Medicaid Fee-for-Service in Five States



Source: GAO analysis of state Medicaid and foster care data.

## Particular Concerns

- **Use in very young children**
- **Off-label use**
- **Polypharmacy**
- **Excessive doses**
- **Inadequate monitoring**
- **Lack of non-pharmacologic treatment**

# Challenges

- **Trauma**
  - PTSD rate of ~14%
  - Direct relationship between trauma and increase in number of mental health symptoms
  - Non-pharmacologic trauma focused treatment may be difficult to access
- **Who treats?**
  - Providers change frequently
  - Primary care vs. specialists or both
  - Patients change residences /placements – lost to follow-up
  - Continuity of care suffers

# Challenges

- **Consent**
- **Assent**
  - Usually around age 14
- **Access to non-pharmacologic treatment**
  - Geography
  - Trauma focused care
  - Behavior management
  - Autism services

# Challenges

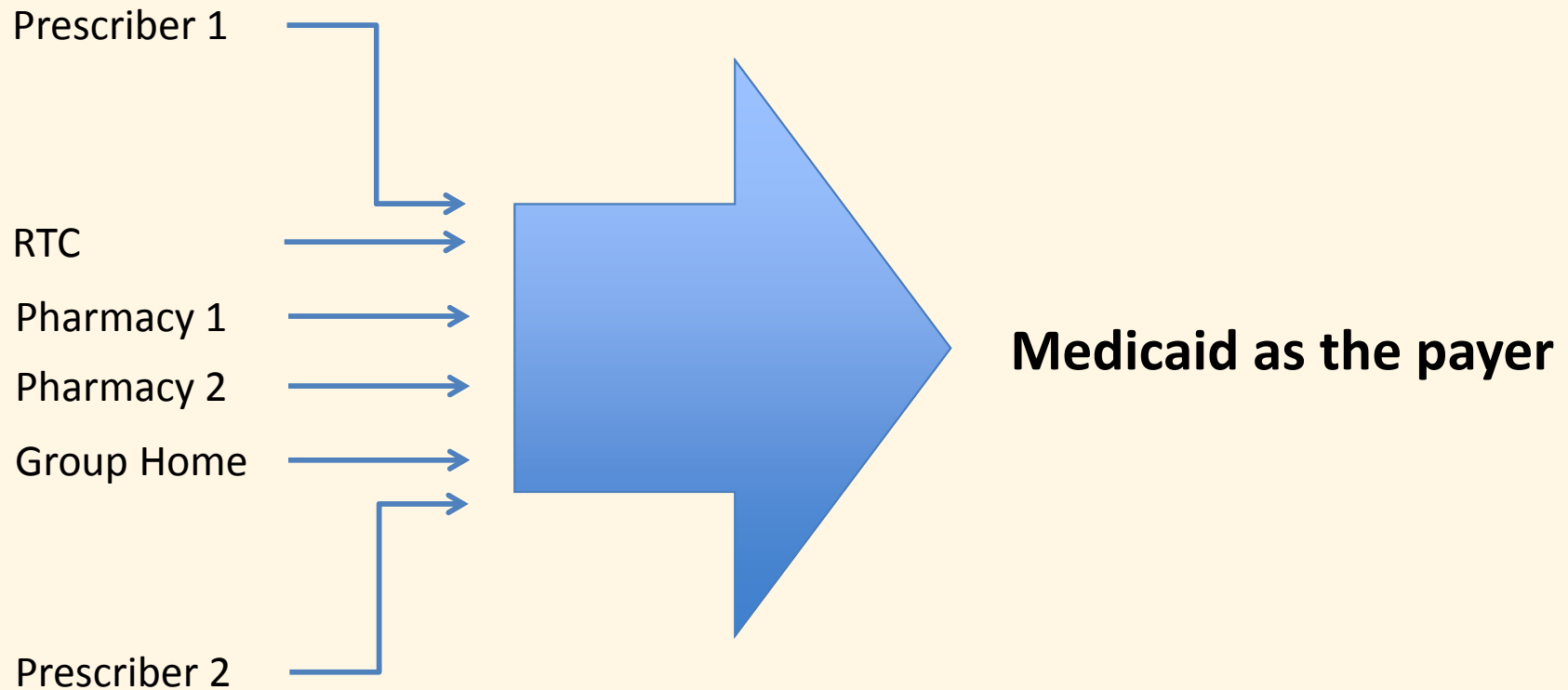
- **Who's responsible?**
  - Child welfare, juvenile justice, education system, mental health system
  - Where is the medical record located?
  - Who really knows the medication history?
- **Who consents?**
  - Child welfare, judges, medical consultants . . .  
Pharmacists?
  - Who even knows the patient is in foster care and that a consent is necessary?

# Consent

- **Bring agencies together**
  - Child welfare
  - Juvenile/legal justice
  - Education system
  - Mental health system
  - Medicaid
- **Where is the repository of the information?**
- **Where is there a final common pathway?**

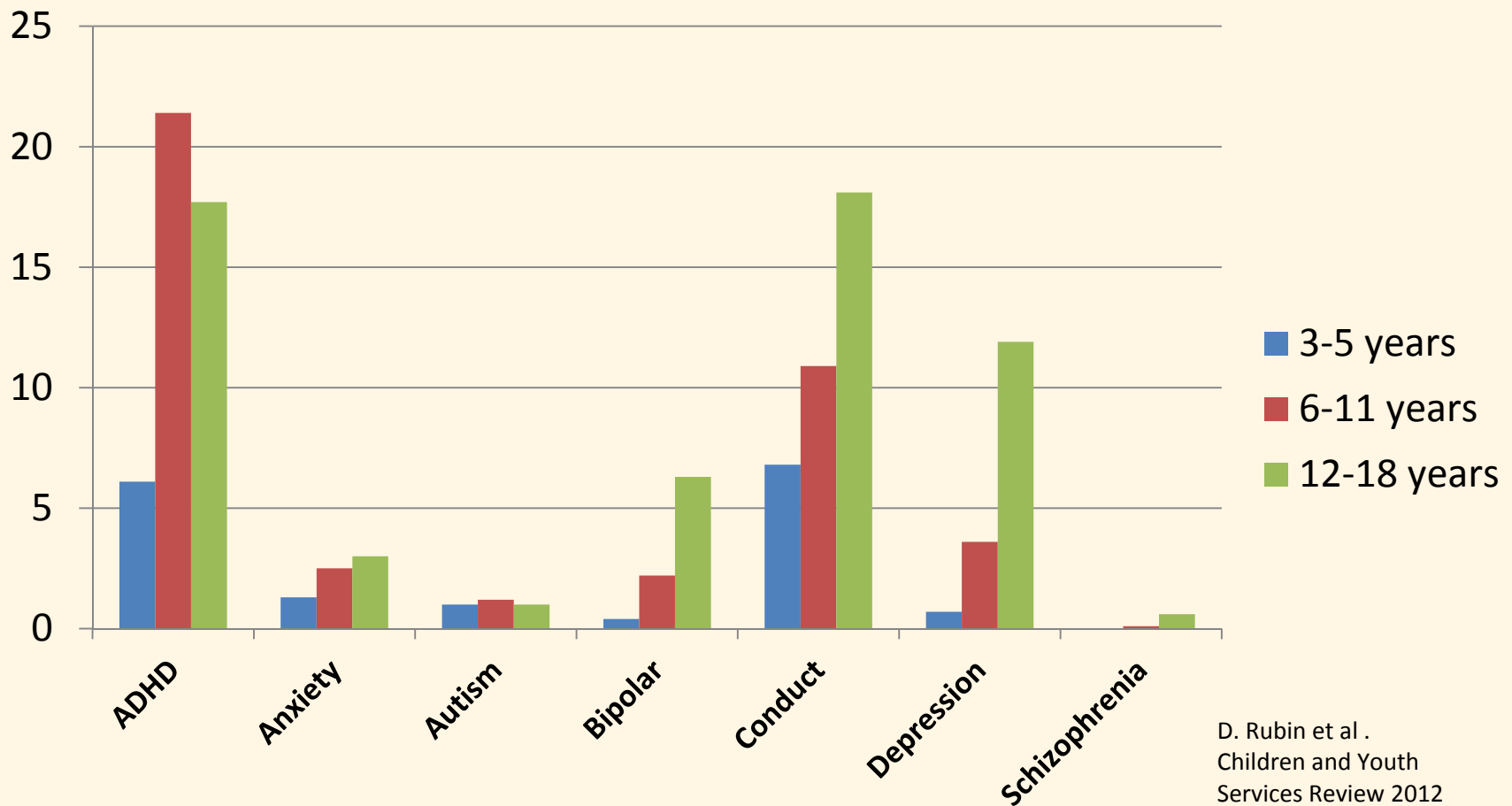


# Final Common Pathway



# Diagnoses Change as Children Age

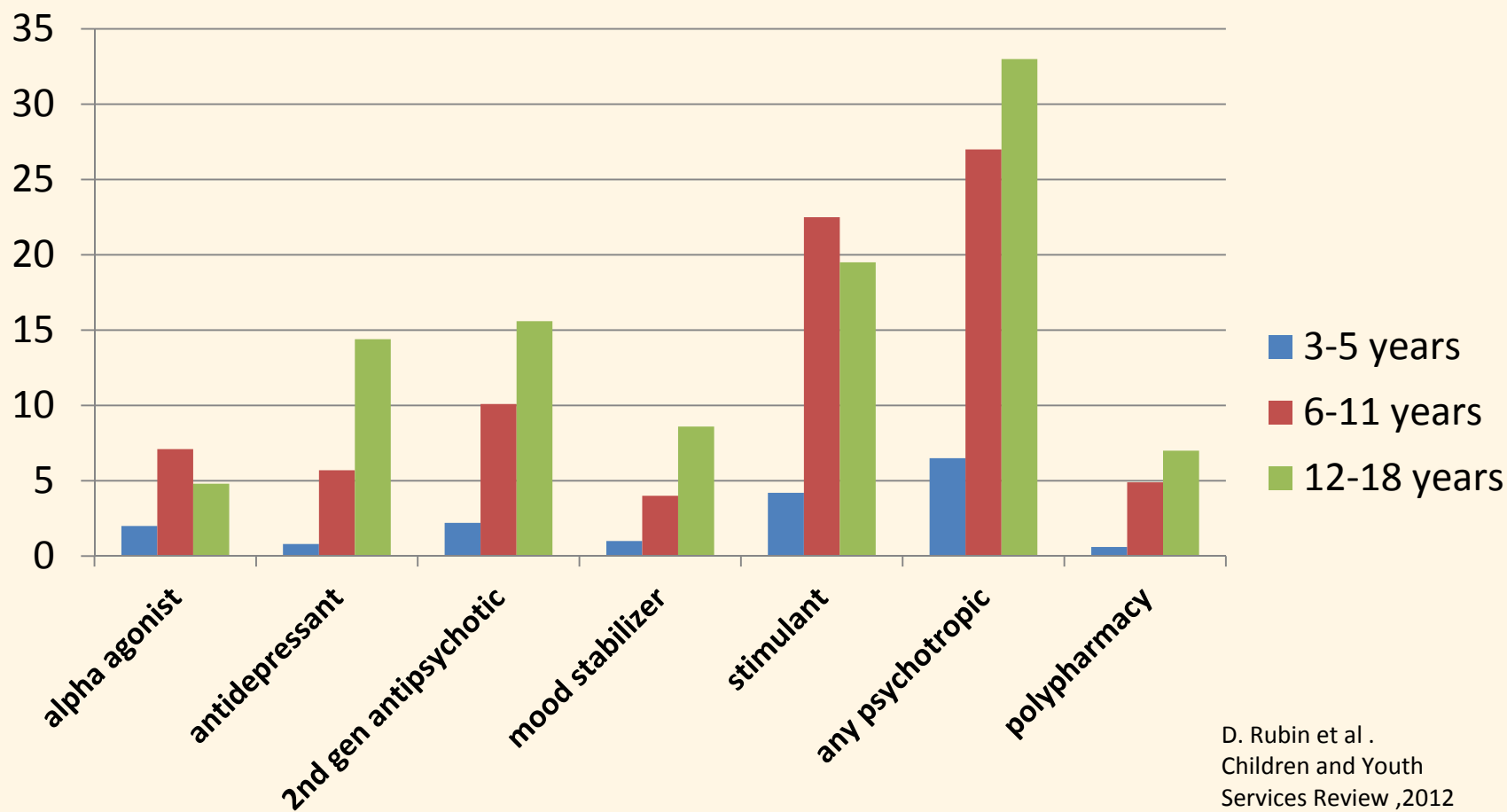
## Medicaid Enrolled Foster Children 2007



# Adolescents vs. Children

- **Assent**
  - Greater role in determining choice of medication, dealing with adverse effects, adherence
- **Diagnoses – bipolar, conduct disorder, depression**
- **Polypharmacy**
- **Age as a DSM criterion**

# Psychotropic Use by Age in Medicaid Enrolled Foster Children 2007



# Off-label Use of Antipsychotics in Children and Adolescents



# FDA Approved Indications for SGAs in Children and Adolescents

	<b>Irritability due to Autism</b>	<b>Schizophrenia</b>	<b>Bipolar Disorder (mania or mixed)</b>
<b>Risperidone</b>	<b>age 5-16</b>	<b>age 13-17</b>	<b>age 10-17</b>
<b>Aripiprazole</b>	<b>age 6-17</b>	<b>age 13-17</b>	<b>age 10-17</b>
<b>Olanzapine</b>	<b>not approved</b>	<b>age 13-17</b>	<b>age 13-17</b>
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<b>Paliperidone</b>	<b>not approved</b>	<b>age 12-17</b>	<b>not approved</b>

# **APA-ABIM 2013**

## **Five Things Physicians and Patients Should Question**

- **Don't prescribe antipsychotic medications to patients for any indication without appropriate initial evaluation and appropriate ongoing monitoring.**
- **Don't routinely prescribe two or more antipsychotic medications concurrently.**
- **Don't use antipsychotics as first choice to treat behavioral and psychological symptoms of dementia.**
- **Don't routinely prescribe antipsychotic medications as a first-line intervention for insomnia in adults.**
- **Don't routinely prescribe antipsychotic medications as a first-line intervention for children and adolescents for any diagnosis other than psychotic disorders.**

# Off-label Uses

- **Most Common Conditions in Children**
  - ADHD
  - Depression
  - Conduct disorder
  - Oppositional defiant disorder
  - Adjustment reactions
- **>40% had no diagnosis supported by any publication**



# **Antipsychotic Treatment of Disruptive Behaviors**

- **Systematic review of RCT's for disruptive behavior disorders in youth**
- **All published trials funded by pharmaceutical companies**

**8 trials (no participants <5 years old)**

**5 risperidone; subaverage-borderline IQ**

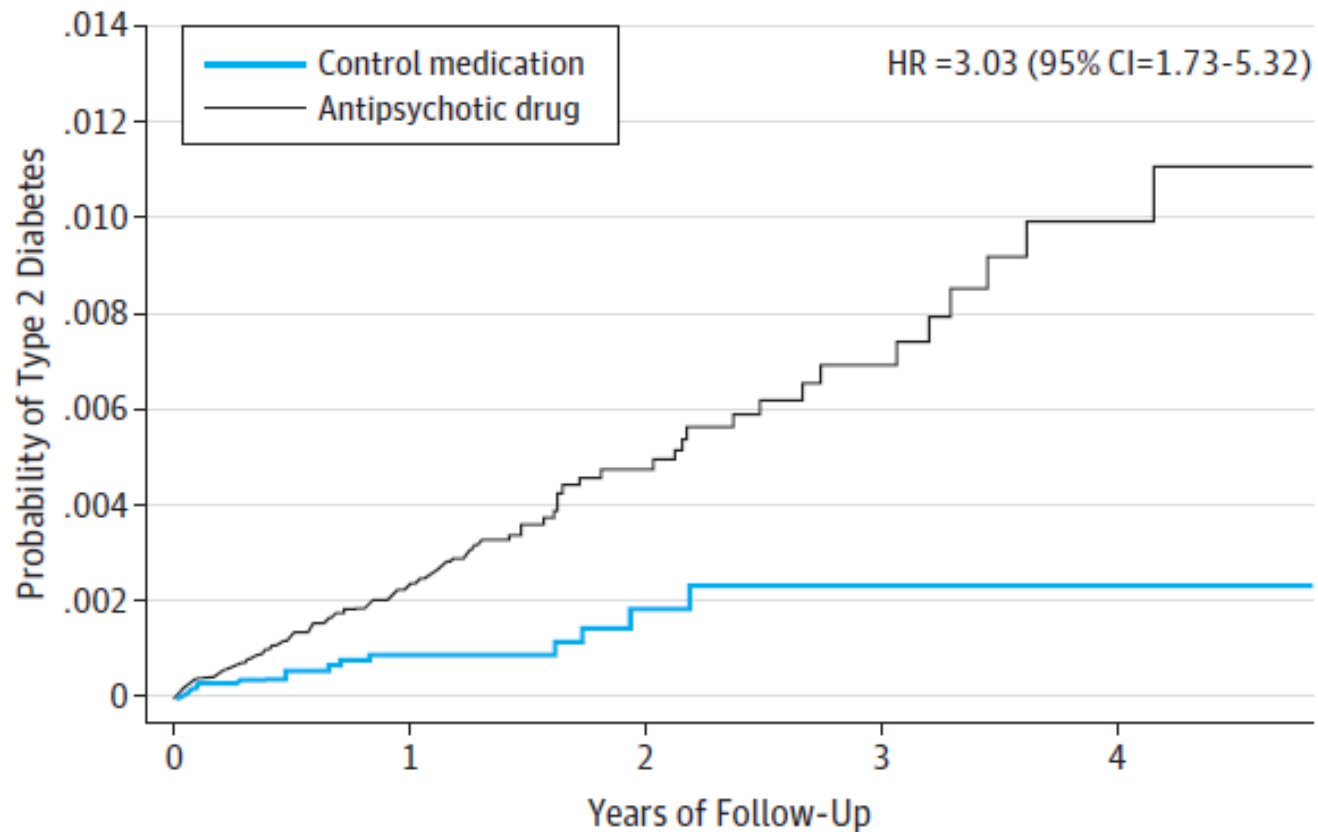
**1 risperidone; treatment resistant aggression ADHD-CD**

**1 quetiapine for adolescent CD**

# **Antipsychotics and the Risk of Type 2 Diabetes**

- **Tennessee Medicaid, published August 2013**
- **Youth 6 to 24 years**
- **Controls – users of other psychotropic drugs**
- **Antipsychotic users had 3 fold increase in risk**
- **Risk went up when only 6-17 years analyzed**
- **Risk increased with cumulative dose of antipsychotic**
- **Risk was significant in first year**

# Antipsychotics and the Risk of Type 2 Diabetes



Bobo et al. JAMA Psychiatry 2013

# APA/ADA Monitoring Schedule

	Base	Follow-up in months					
		1	2	3	6	9	12
Personal/Family History	X						X
Weight (BMI)	X	X	X	X	X	X	X
Waist Circumference	X			X			X
Blood Pressure	X			X			X
Fasting Glucose	X			X			X
Fasting Lipids	X			X			X

# **Evidence-Based Monitoring for Safety SGA's in Children and Youth**

- **Canadian Alliance for Monitoring Effectiveness and Safety of Antipsychotics in Children (CAMESA) included experts from Psychiatry, Neurology, Endocrinology, Cardiology, Epidemiology, Pediatrics, Nephrology**
- **Reviewed strength of evidence for risperidone, olanzapine, quetiapine, aripiprazole, clozapine, ziprasidone**
- **Developed drug specific recommendations, but noted drug specific recommendations cumbersome to implement & ignored ECG**
- **Developed “universal” SGA tool for 12 months, ending recommendations at one year due to lack of long term studies**

Pringsheim et al. J Can Acad Child Adolesc Psychiatry, 2011

## CAMESA Monitoring Schedule

	Base	Follow-up in months					
		1	2	3	6	9	12
Ht, Wt, BMI	X	X	X	X	X	X	X
Waist Circumference	X	X	X	X	X	X	X
Blood Pressure	X	X	X	X	X	X	X
Fasting Glucose, insulin	X			X	X		X
Fasting LDL, HDL, cholesterol, triglycerides	X			X	X		X
Neurological	X	X	X	X	X	X	X
AST, ALT	X				X		X
Prolactin	X						X
TSH for quetiapine	X						X

# ECG Monitoring

- **American Academy of Child and Adolescent Psychiatry Practice Parameter**
- **General in tone and refers to ADA/APA Consensus Conference monitoring recommendations**
- **Recommendation 15. *Due to limited data surrounding the impact of AAAs on the cardiovascular system, regular monitoring of heart rate, blood pressure and EKG changes should be performed .***

## ECG Monitoring (AACAP continued)

- **Family and patient history**
  - sudden/unexplained death
  - syncope
  - palpitations
  - cardiovascular problems/abnormalities
  - Consider baseline and subsequent ECG monitoring when positive
- **Consider alternative therapy if:**
  - resting heart rate >130/min
  - PR interval >200 msec
  - QRS >120 msec
  - QTc is >460 msec

AACAP Practice Parameter,

[http://www.aacap.org/App\\_Themes/AACAP/docs/practice\\_parameters/Atypical\\_Antipsychotic\\_Medications\\_Web.pdf](http://www.aacap.org/App_Themes/AACAP/docs/practice_parameters/Atypical_Antipsychotic_Medications_Web.pdf)



# Monitoring is a Problem

- **Haupt et al, Am J Psychiatry. 2009;166:345-353.**
  - Large managed care database
  - Baseline and 3 month monitoring lowest in children
  - Baseline glucose <25%
  - Baseline lipids ~10%
- **Morrato et al, Arch Pediatr Adolesc Med. 2010;164:344-351**
  - 3 state Medicaid programs
  - Baseline glucose ~30%
  - Baseline lipids <15%
  - Despite American Diabetes Association and American Psychiatric Association warnings, screening rates low

## Safety Monitoring for SGAs in Children and Adolescents

	Baseline	3 months	every 6 months	annual	other
EPS Rating Scales	X	X		X	
Weight, BMI	X				each visit
BP, pulse	X	X	X		
Lipids (fasting)	X	X	X		
Glucose (fasting)	X	X	X		
Prolactin	X		X		if symptomatic
ECG	X				during titration

# Monitoring is a Problem

- **Maryland 2011-2013**
  - **Maryland Medicaid Pharmacy Peer Review for Mental Health Drugs**
  - **First 59 patients, only one patient had baseline glucose and lipids**
  - **Pre-authorization ~75% have labs in first 90 days**

# Conclusions

- **Federal mandates regarding psychotropic medication use continue to challenge the states**
- **Prior authorization coupled with consultation and education can make a difference**
- **Systems that integrate prescriber and foster program education, consent and prior authorization have the potential to meet current many of these challenges**
- **Proper monitoring, particularly for antipsychotics is evolving and presents additional challenges**

# For More Information

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# Questions



# Second Generation Antipsychotics

<b>Clozapine</b>	<b>Clozaril</b>	<b>Sandoz</b>	<b>1990</b>
<b>Risperidone</b>	<b>Risperdal</b>	<b>Janssen</b>	<b>1994</b>
<b>Olanzapine</b>	<b>Zyprexa</b>	<b>Lilly</b>	<b>1996</b>
<b>Quetiapine</b>	<b>Seroquel</b>	<b>AstraZeneca</b>	<b>1997</b>
<b>Ziprasidone</b>	<b>Geodon</b>	<b>Pfizer</b>	<b>2001</b>
<b>Aripiprazole</b>	<b>Abilify</b>	<b>BMS</b>	<b>2002</b>
<b>Paliperidone</b>	<b>Invega</b>	<b>Janssen</b>	<b>2007</b>
<b>Asenapine</b>	<b>Saphris</b>	<b>Merck</b>	<b>2009</b>
<b>Iloperidone</b>	<b>Fanapt</b>	<b>Vanda</b>	<b>2009</b>
<b>Lurasidone</b>	<b>Latuda</b>	<b>Sunovion</b>	<b>2010</b>

# Concerns

- Are drugs effective?
- Are non-pharmacologic treatments employed before resorting to psychopharmacotherapy?
- Co\$t
- Extrapyrarnidal side effects/involuntary movements
  - Tardive dyskinesia, Dystonic reactions
- Obesity/metabolic effects
  - Lipid elevation, Glucose elevation
  - Diabetes mellitus, Weight gain
- Cardiovascular toxicity
  - QTc
- Hepatotoxicity



# FDA Approved Indications for SGAs in Children and Adolescents

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## **Additional Off-label Uses**

- **Attention deficit hyperactivity disorder**
- **Anxiety**
- **Sleep**
- **Bipolar disorder**
- **Mood disorder (not otherwise specified)**
- **Conduct disorder**
- **Oppositional defiant disorder**
- **Depression (some approved in adults)**

# Maryland Medicaid Statistics

<b>Age Group</b>	<b># of Prescriptions</b>	<b># of Children</b>
0 – 4 years	705	178
5 – 9 years	12,992	2,065
10 – 12 years	11,699	1,824
13 – 17 years	19,349	2,875

- **Review Period: 1/1/2010 – 12/31/2010**
- **48% of antipsychotic medications prescribed to children below the FDA approved ages were prescribed by providers who were not in the public mental health system (e.g., pediatricians and other primary care providers)**

# The Maryland Approach

- **Early 2011 – Brainstorming**
- **Decision – Focus on Safety and Appropriate Use and gradual phase-in over for escalating age groups**
- **University of Maryland Schools of Pharmacy and Medicine to lead effort**
- **Summer 2011 – criteria development and involvement of additional stakeholders**
- **Fall 2011 – outreach**
- **October 2011 – implementation for children < 5 y.o.**
- **July 2012 – implementation for children < 10 y.o.**
- **July 2012 – implementation for ages < 18 y.o.**

# **Pediatric Antipsychotic Peer Review Program**

**Child and Adolescent  
Psychiatry  
Johns Hopkins  
School of Medicine**

**Mental Health Program  
University of Maryland  
School of Pharmacy**

**Child and Adolescent  
Psychiatry  
University of Maryland  
School of Medicine**

**Medicaid Administration  
Dept. of Health and  
Mental Hygiene  
State of Maryland**

**Coalition of Pediatric  
Psychiatry Providers**

**Mental Hygiene  
Administration  
Dept. of Health and  
Mental Hygiene  
State of Maryland**

# **Additional Partners and Outreach**

- **Major Psychiatric Hospitals**
  - Sheppard Pratt Health System
- **Major Outpatient Service Providers**
  - Catholic Charities
- **Advocacy**
  - Maryland Coalition of Families for Children's Mental Health
- **Professional Organizations**
  - AACAP, AAP, AAFP, hospitals, health care systems . . .

# The Peer to Peer Review Program

- **Prior Authorization Plus**
  - Education
  - Referrals
  - Consultation
- **Call center staffed by psychiatric pharmacists**
  - Educate prescribers
  - Make recommendations
  - Review criteria
  - Authorize real time through link to vendor system
- **Child psychiatrists back up pharmacists**
  - May override criteria
  - Often aid with psychosocial referrals
- **Medicaid psychiatrist handles reviews, if requested**
- **Reauthorization in 90 days**

# The Peer to Peer Review Program

- Outreach to prescribers and pharmacists occurs before program initiated or expanded
- Outreach to existing prescribers with educational package
- Local pharmacist receives message to refer prescriber when submitting prescription for approval
- Physician faxes form to call center or calls
- Forms/data reviewed by psychiatric pharmacist
- Pharmacist approves or refers to child psychiatrist
- Pharmacist authorizes through Medicaid vendor system
- Call center proactively sends out renewal notices



# The Data Collected

- **Brief demographic information**
- **Foster care status**
- **Acuity of need**
  - recent hospitalization, crisis intervention
- **Diagnosis and indication for treatment**
- **Medications**
  - Polypharmacy, dosing, regimens
- **Psychosocial Services**
- **Weight, height, BMI**
- **Fasting labs**
  - Glucose, lipids, LFTs
- **ECG for ziprasidone or quetiapine**

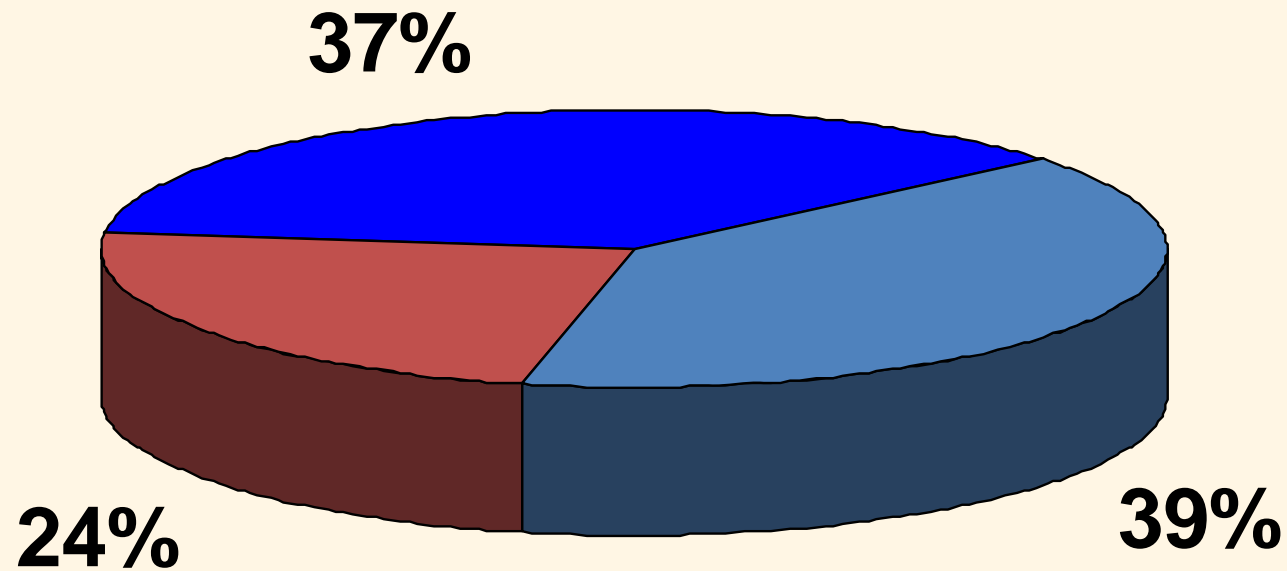
# Criteria for Pharmacist Approval

- **Mandatory Criteria**
  - Age criteria
    - >3 or child psychiatrist reviews
  - Aggression, irritability, psychotic symptoms
  - Symptom severity
  - Dose, regimen appropriate
  - Baseline monitoring
- **Selective Criteria**
  - Acuity
  - Diagnosis
    - May include required concurrent psychosocial services

# What's the Bottom Line?



# Program Findings – The Diagnoses



■ Dev Delay ■ Mood DO ■ Behavior DO

# Program Findings – The Prescribers

*Approximately 1/3 of antipsychotics are prescribed by primary care providers*

- Pediatricians
- Family Practitioners
- Nurse Practitioners
- Psychiatrists
- Child Psychiatrists
- Psychiatric Nurse Practitioners
- Neurodevelopmental Pediatricians
- Behavioral Pediatricians
- Pediatric Neurologists
- Neurologists

# Program Findings

- **Began October 2011 for children < 5 years of age**
- **About 60% of patients are approved**
  - 65% of patients on medication at start of program were approved
  - Risperidone main agent employed
- **Many prescribers unaware of psychosocial services**
  - Many decide to no longer pursue antipsychotic treatment when other resources made available

## More Findings

- **Most prescribers do not adhere to recommended monitoring before contact with the program**
  - Some prescribers think monitoring is not necessary
- **Many prescribers elect to not pursue treatment once peer consultation/education occurs**
- **Need for medication in this age group not as acute as initially thought based on slowness of prescriber response**

# Prescriber Concerns

- **Laboratory monitoring necessity**
- **Family resistance to laboratory monitoring**
- **Time/efficiency of process**
- **Concerns about who is prescribing**
- **Incorrect information from Medicaid during start up**
- **Availability of psychosocial services**
- **Need for psychosocial services**



## **Other Initiatives - Adults**

- **Existing Dose Optimization Standards**
- **Review Exceptions**
- **Examine Outliers**
  - Dose
  - Regimen
  - Polypharmacy
- **Determine potential adherence problems**
  - Some prescribers think monitoring is not necessary
- **Consultation Panel**